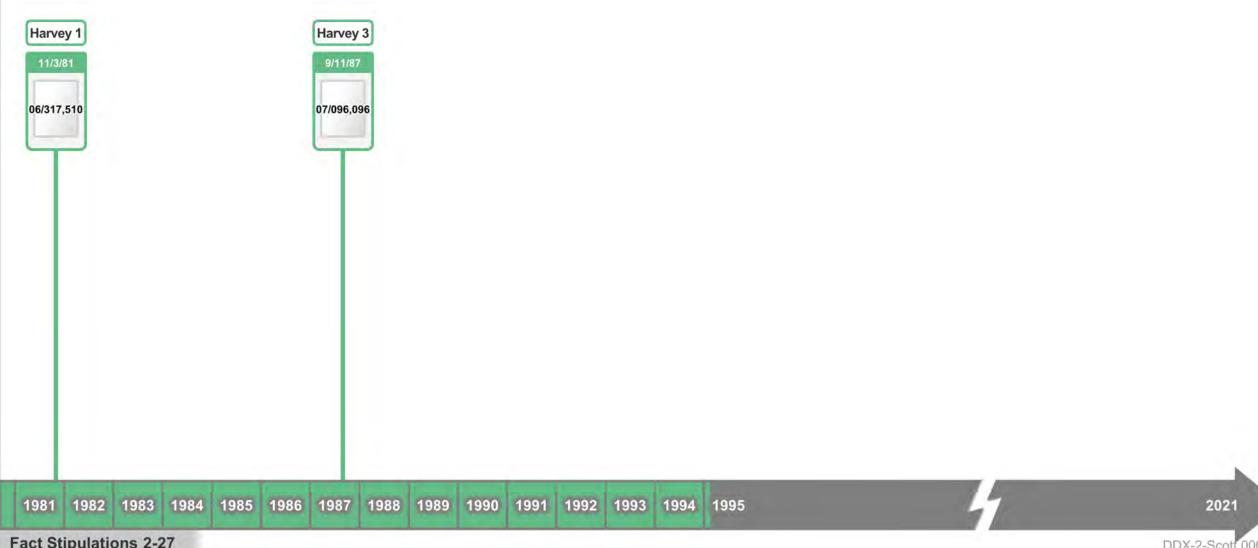
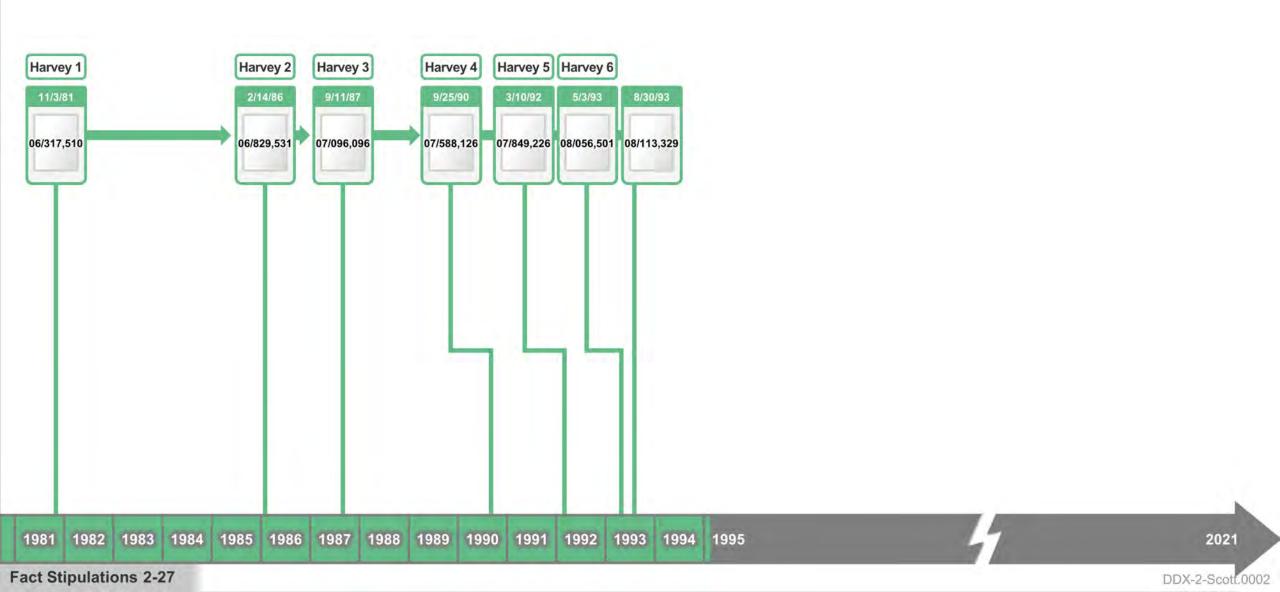
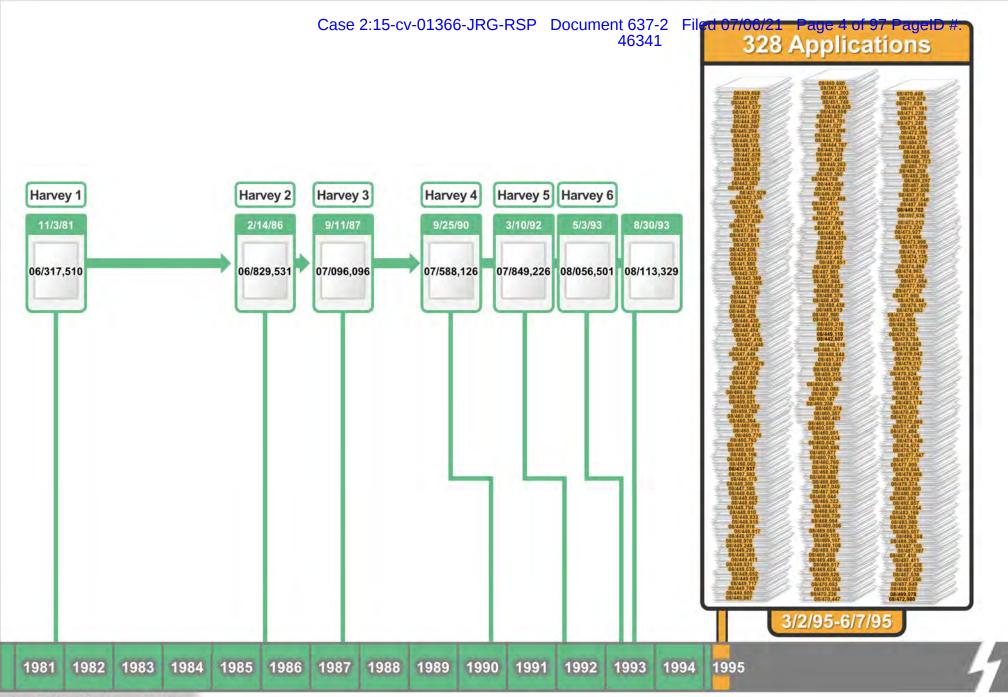
EXHIBIT 2



Fact Stipulations 2-27

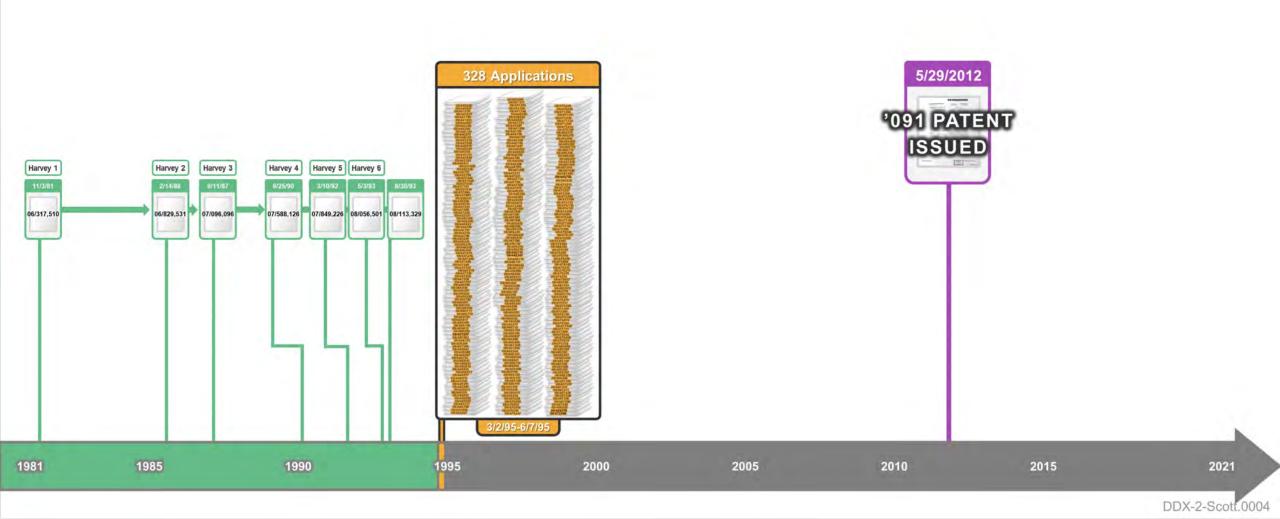


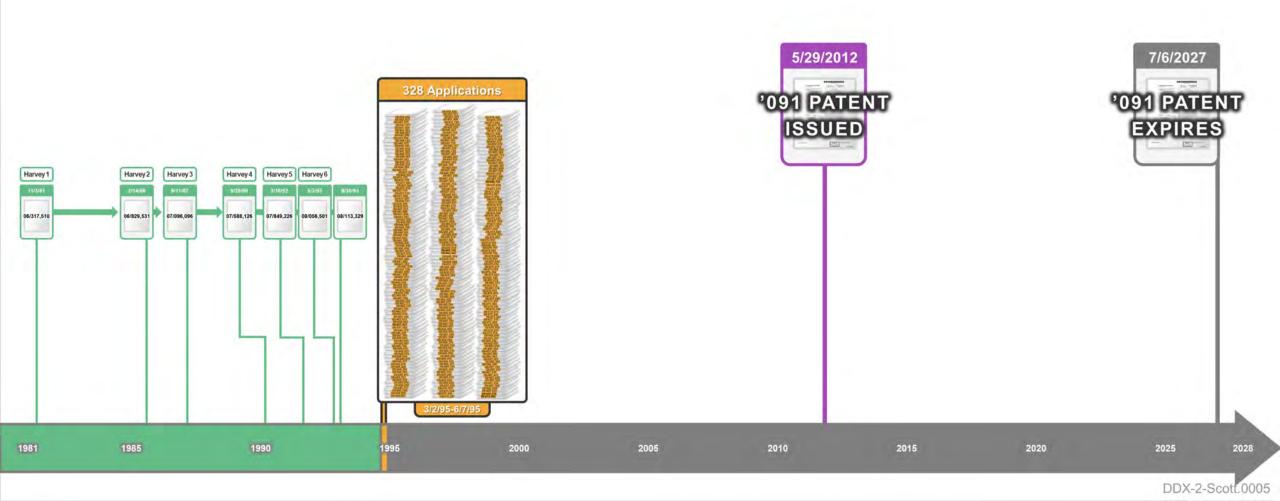


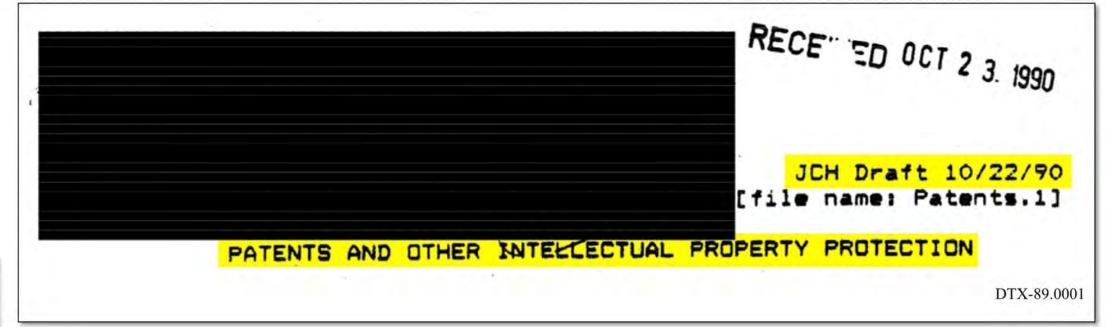
Fact Stipulations 2-27

DDX-2-Scott.0003

2021









DTX-89

The Company's first patent application (the "1981 Application") was filed in the U.S. Patent and Trademark Office on Nov. 3, 1981. The 1981 Application disclosed many inventions. To date, two patents have resulted: U.S. Patents No. 4,694,490 and 4,704,725. The Patent Office's examination of these initial patents was very thorough. Nearly six years elapsed between the 1981 filing and the issuance of the first patent in 1987. (The average patent issues in thirty months.) Before allowing the first patent, the Patent Office examiner conducted four separate searches of the Patent Office files. (The average patent issues on the basis of one search.)



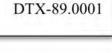
DTX-89

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DTX-89

On Sept. 11, 1987, an additional application (the "1987 Application"), which totaled 557 pages, was filed in the U.S. Patent Office to elaborate on and extend the inventions disclosed in the 1981 Application. The 1987 Application was filed as a "continuation-in-part" to the 1981 Application. This means that inventions described in the 1987 Application that were previously disclosed in the 1981 Application—and many were—take the precedence of the 1981 filing date in the United States. To date, one patent has resulted: U.S. Patent No. 4,965,852 which issued on Oct, 23, 1990.





DTX-89

The Company believes that patent protection is important to its business. To date the Company has received three U.S. patents. These cover focal aspects of PTV, PPrint, PRadio, and the Company's broadcast-oriented parallel processing and communications metering technologies. Other U.S. and foreign patents are pending.



DTX-89

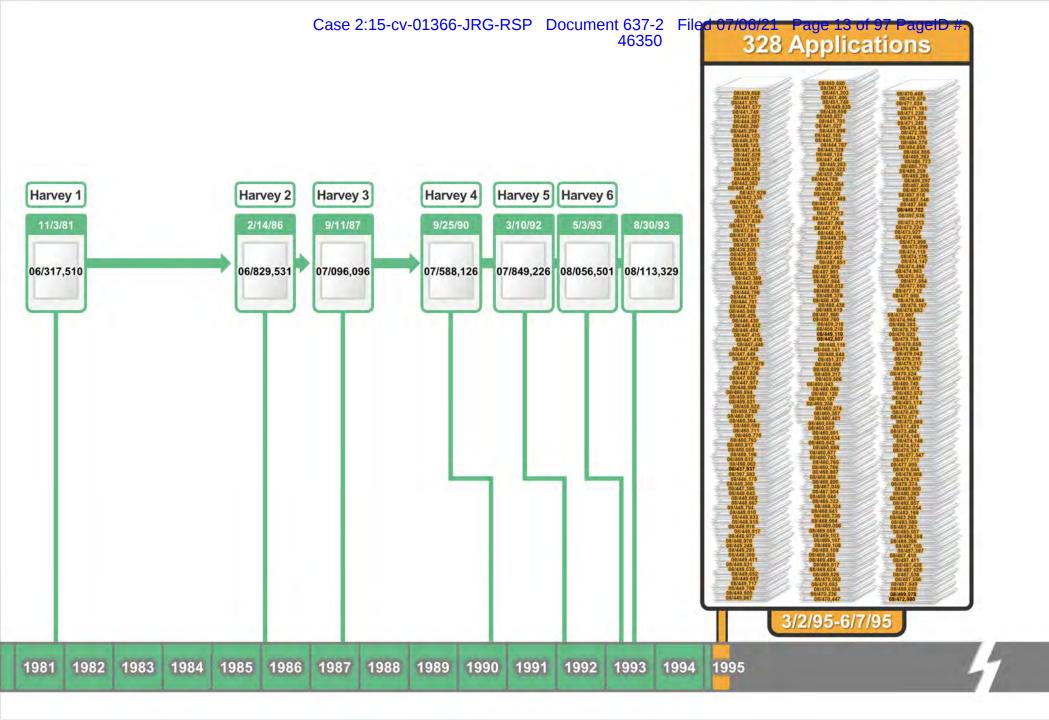
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Further patent protection is pending. The Company believes that it is likely to receive many more U.S. patents covering inventions disclosed in the 1981 and 1987 Applications.





DTX-89



2021

Pending U.S. and International Patents

Resides the three U.S. patents described above, extensive additional patent protection is sought in the United States and internationally. In prosecuting its pending rights in the U.S. Patent Office and outside the U.S., the Company expects to pursue different strategies.

Strategy for Prosecuting Pending Patents in the United States

In the case of patent applications, such as the 1981 and 1987 Applications, that disclose more than one invention, U.S. patent practice permits serial prosecution of the separate inventions. Doing so can result in a portfolio of patent coverage that provides protection for considerably longer than the seventeen year term of the first patent to issue.



DTX-89

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DTX-89

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DTX-89

Strategy for Prosecuting Pending Patents in the United States

By prosecuting the separate inventions serially rather than simultaneously, the patent owner achieves a portfolio of patent coverage that provides protection for considerably longer than seventeen years because the the various patents issue gradually over time and the seventeen term of each patent begins on its issue date.

DTX-89.0006-7

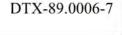


DTX-89

Strategy for Prosecuting Pending Patents in the United States

* * *

The Company believes that it can continue to prosecute broad claims on all its technologies, including PTV, PPrint, PRadio, and its communications metering and broadcast-oriented parallel processing systems, for years to come. Its strategy is to prosecute coverage on its technologies deliberately over time in such a way that broad coverage is in effect at any given time while the duration of coverage is prolonged as long as possible.





DTX-89

Related U.S. Application Data

- 7
- 6
- 5
- 4
- 3
- 2
- (1)

Continuation of application No. 08/113,329, filed on Aug. 30, 1993, now Pat. No. 7,856,650, which is a continuation of application No. 08/056,501, filed on May 3, 1993, now Pat. No. 5,335,277, which is a continuation of application No. 07/849,226, filed on Mar. 10, 1992, now Pat. No. 5,233,654, which is a continuation of application No. 07/588,126, filed on Sep. 25, 1990, now Pat. No. 5,109,414, which is a continuation of application No. 07/096,096, filed on Sep. 11, 1987, now Pat. No. 4,965,825, which is a continuation-in-part of application No. 06/829,531, filed on Feb. 14, 1986, now Pat. No. 4,704,725, which is a continuation of application No. 06/317,510, filed on Nov. 3, 1981, now Pat. No. 4,694,490.

DTX-3 ('091 Patent), Claim 13

THE

Personalized Mass Media

CORPORATION

BROADCASTING, COMPUTING & INFORMATION METERING TECHNOLOGIES

BUSINESS PLAN 1991



DTX-90

MARKET DEVELOPMENT STRATEGY

The inventions, technologies and concepts at the core of PMM communications are expected to serve a very wide range of markets and applications. The potential is, in fact, so diverse that considerable care has to be exercised in developing markets so that the results are consistent with the start-up nature of PMMC and a realization of the full value on the investments made to get the venture underway. The specific elements of this strategy include the following.



DTX-90

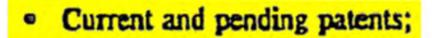
- A core element of the ongoing strategy is to develop and secure a strong proprietary position. The parent company, PMMC, is to be primarily a licensing company and its success will depend on the strengths and breadth of its intellectual and proprietary assets. The Company believes that the combination of patents and copyrights will provide an enduring intellectual property position. The intellectual property portfolio will include:
 - Current and pending patents;
 - Future patents covering new technologies as they emerge;
 - Copyrights on receiver system firmware, software, and data base designs;



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 - Current and pending patents;
 - Future patents covering new technologies as they emerge;
 - Copyrights on receiver system firmware, software, and data base designs;



PMMC. PMMC will remain an independent company, retaining ownership of the PMM patents and working to gain further patent coverage in this field. PMMC's primary business functions are to develop, maintain and enforce technical standards for PMM services, software, and hardware and to license the proprietary rights associated with PMM communications. With RESOURCE, PMMC will build a strong proprietary position, intended to endure for 30-50 years.



DTX-90

AN INTRODUCTION TO

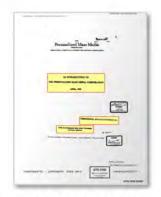
THE PERSONALIZED MASS MEDIA CORPORATION

APRIL, 1992

CONFIDENTIAL BUSINESS INFORMATION

*1997 the Personalized Mass Media Corporation
Ali Rights Reserved

DTX-1000.0001

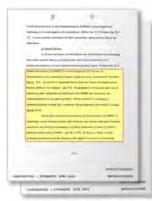


DTX-1000

Wilson was retained by PMMC in or about August of 1991 to assist in commercializing and marketing its patent rights covering "personalized television." [See id. ¶ 4]. As part of his responsibilities in that role, Wilson developed several business plans for the company. [Id. ¶ 5]. The purpose of the business plans was to familiarize other companies or individuals with PMMC and to assist in the commercialization of its patent portfolio. Wilson assisted in developing a commercialization strategy and a corporate and management development strategy. [See id. ¶ 5-6].

Wilson also acted as an intermediary or "point person" for PMMC in contacting various business entities with which he was familiar from prior business experience, and which he considered to be likely candidates for direct or indirect financial support roles to PMMC. [Id. ¶¶ 6, 9-10]. In this role, Wilson was the principal representative of the company with regard to certain of its marketing activities. [See id. ¶ 8]. In fact, for this purpose, Wilson carried a PMMC business card which identified him as the company's "Venture Manager." [Id.].

PMCAPL01009066 at 083-084



PMCAPL01009066

PMMC Attributes and Benefits

Among the principle attributes and benefits of PMMC are:

Proprietary Position. The hardware, methods of operation, software and firmware required to implement PMM communications, automation, metering and monitoring are protected by a portfolio of patents, pending patents, proprietary know-how, business and trade secrets, market research and application descriptions covered by copyright, and the combined expertise of the management and consultants responsible to the company. Among its issued and pending patents are several that PMMC believes to be "seminal" and market defining. This position assures the Company the ability to set industry standards and protocols, define markets, assign market share, control distribution channels and extract substantial licensing fees and royalty payments.

PMMC believes that its intellectual property position will enable it to exercise far-reaching market control for as long as 30 to 50 years.

DTX-1000.0005



DTX-1000

ST. CLAIR INTELLECTUAL PROPERTY CONSULTANTS, INC.

SUITE NUMBER TWO 16845 KERCHEVAL AVENUE GROSSE POINTE, MICHIGAN 48230 U.S.A.

> TELEPHONE (313) 884-8427 TELECOPIER (313) 884-8457

> > May 6, 1994

Mr. Robert N. Caird Senior Vice President Corporate Development The Personalized Mass Media Corporation 333 East 57th Street New York, New York 10022

PACSIMILE TRANSMISSION

To: (212) 980-9774

Total pages: 4

DTX-0099.0001



DTX-0099

Dear Bob:

This is in response to your request that we provide you with some alternative proposals on how PMMC can generate revenue from the PMMC patent portfolio. In general, there are three approaches PMMC can take, i.e. selling the patents outright, pure patent licensing, or commercial development. The relationship of our firm (St. Clair) with PMMC will vary, depending on the method PMMC chooses to exploit its patent rights.



DTX-0099

The amount of revenue generated from a patent licensing program is entirely dependent on the amount of infringement. A patent licensing program will be most effective when it is launched after widespread infringement of the subject patents has been established. Once infringement becomes widespread in an industry, the patented technology becomes so deeply embedded in commercial products that design around is not an option to infringers.



DTX-0099

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DTX-0099

The better strategy may be to keep the PMMC patents hidden while industry infringement is quietly monitored. PMMC could then roll out the patents to the industry at an appropriate time in the future, after the PMMC technology has been widely adopted.

To the extent this strategy is adopted by PMMC, it should be coordinated with advice from patent counsel on the legal doctrine of "Laches." This doctrine essentially places a time limit on the patent holder to enforce its patent rights against infringers for past damages, commencing on the date of first knowledge of infringement. The concept of Laches is similar to that of a statute of limitations.



DTX-0099

SPH draft 9/12/91 11:00AH [file: JOINT.VEN]

CONFIDENTIAL

APPENDIX D

POTENTIAL PARTNERS/CO-VENTURERS/CONSORTIUM NEMBERS



DTX-169

SPH draft 9/12/91 11:00AH [file: JOINT.VEN]

CONFIDENTIAL

APPENDIX D

POTENTIAL PARTNERS/CO-VENTURERS/CONSORTIUM MEMBERS



In some cases markets had yet not matured to benefit from applications of the Company's technologies. During this period, therefore, the Company had deliberately chosen not to publicize widely its technologies or plans.

DTX-169.0001

DTX-169

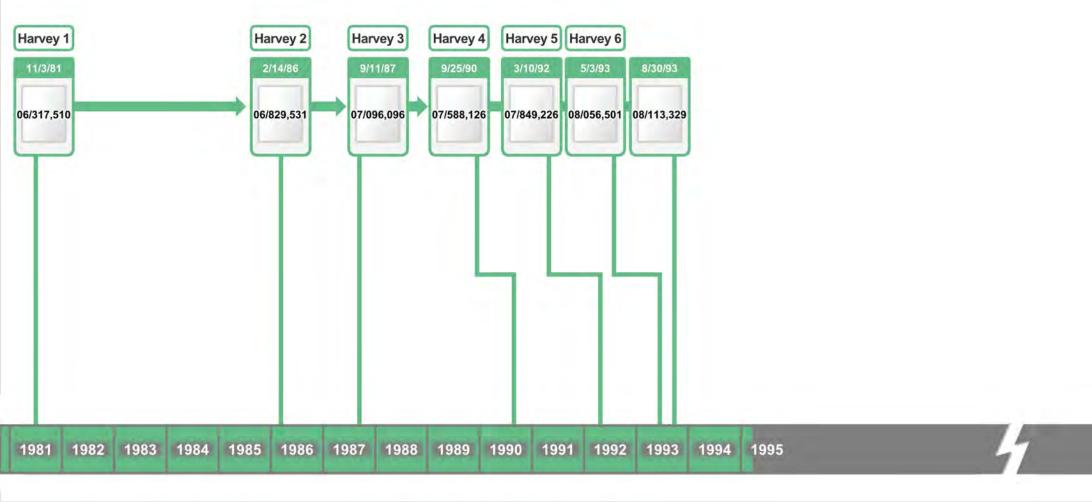
COMPUTER HARDWARE MANUFACTURERS. Basic personal computers (e.g., the IBM PC) have become widely cloned commodity items. New extended computing technologies (e.g., "multimedia computing" and "network computing") are developing, but no one has achieved a proprietary position strong enough to set standards. PMMC's technologies

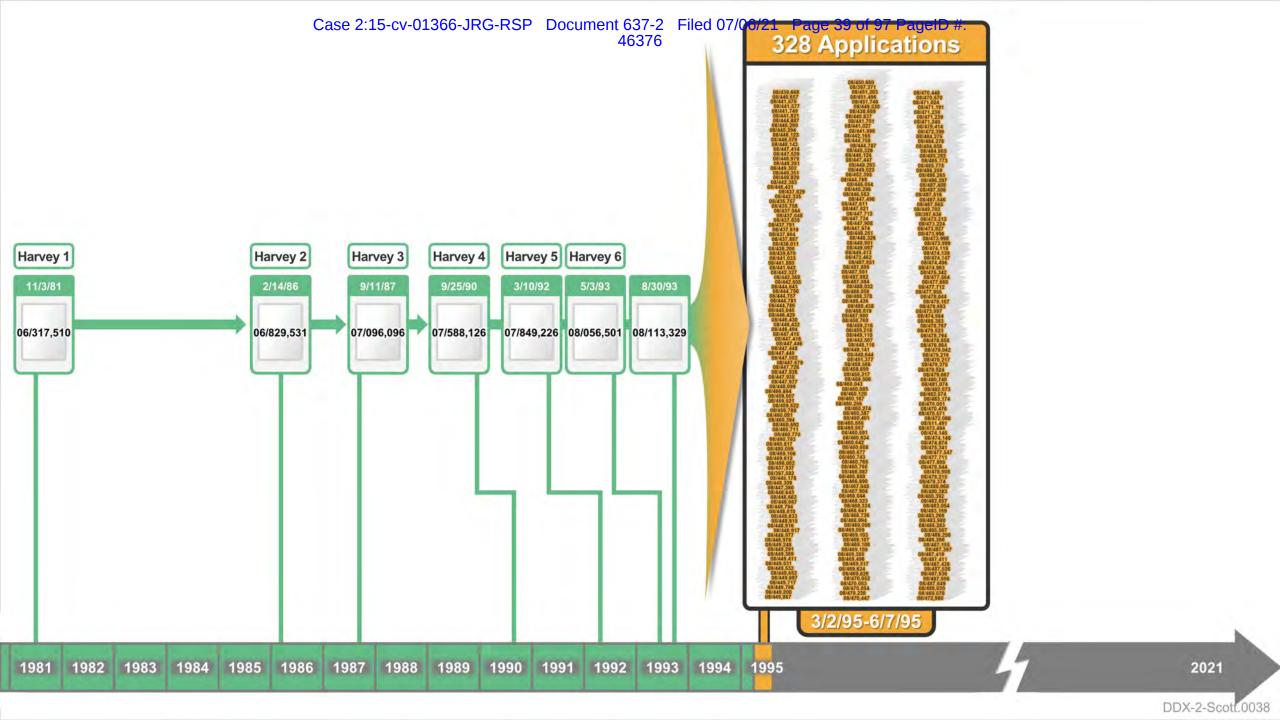
- . INTEL (manufactures the proprietary series of microprocessors that provide the CPU core of the IBM PC series of personal computers and their clones)
- . IBM (world's largest computer hardware manufacturer)
- . Apple (widely credited with launching the microcomputer revolution by fostering an open architecture)
- Others (Many other companies such as Sun, Compaq, Hewlett Packard, Digital Equipment and ATET manufacture computer hardware.)

DTX-169.0005-6



DTX-169





MEMORANDUM

To: Robert C. Scheinfeld

From: Thomas J. Scott, Jr.

Re: Chronology of PMC Efforts to Expedite Prosecution Of Its Pending

Applications Between 1995-2010

Date: July 15, 2015

DTX-274.0001



DTX-274

B. PMC's Response to the GATT Amendments

In the period after the issuance of U.S. Patent No. 5,335,277 on August 4, 1994, PMC came to understand that the United States' adherence to the results of the Uruguay Round negotiations conducted under the framework of the General Agreement on Tariffs and Trade ("GATT") would modify the patent term to a significant degree. Accordingly, the Harvey applicants conducted a detailed study of the disclosures of both the Original 1981 Application and 1987 CIP Application. This study led to their determination that both applications disclosed many separate and distinct inventions which had not yet been patented. Accordingly, between March 2, 1995 and June 7, 1995 PMC filed 328 applications claiming priority either to the 1981 priority date of the Original 1981 Application or the 1987 priority date of the 1987 CIP Application. Each such applications contained a claim or claims identifying a separate and distinct invention to which it was addressed. PMC's reason for filing some 300 - odd applications was that the Patent and Trademark Office ("PTO") has issued guidance in 37 C.F.R. §129(b) to the effect that a Restriction Requirement could be imposed in any pre-GATT filed application with numerous claims if the failure to present those claims earlier was the result of the applicants' conduct. The imposition of such a Restriction Requirement could cause PMC to lose rights in many of its valuable distinct inventions. PMC understood the PTO to be taking the position that the submission of a large number of claims in a single application addressed to many separate aspects of the same disclosure would constitute "conduct by the applicant" within the meaning of PTO Rule 129.

DTX-274.0001-2



DTX-274

B. PMC's Response to the GATT Amendments

In the period after the issuance of U.S. Patent No. 5,335,277 on August 4, 1994, PMC came to understand that the United States' adherence to the results of the Uruguay Round negotiations conducted under the framework of the General Agreement on Tariffs and Trade ("GATT") would modify the patent term to a significant degree. Accordingly, the Harvey applicants conducted a detailed study of the disclosures of both the Original 1981 Application and 1987 CIP Application. This study led to their determination that both applications disclosed many separate and distinct inventions which had not yet been patented. Accordingly, between March 2, 1995 and June 7, 1995 PMC filed 328 applications claiming priority either to the 1981 priority date of the Original 1981 Application or the 1987 priority date of the 1987 CIP Application. Each such applications contained a claim or claims identifying a separate and distinct invention to which it was addressed. PMC's reason for filing some 300 - odd applications was that the Patent and Trademark Office ("PTO") has issued guidance in 37 C.F.R. §129(b) to the effect that a Restriction Requirement could be imposed in any pre-GATT filed application with numerous claims if the failure to present those claims earlier was the result of the applicants' conduct. The imposition of such a Restriction Requirement could cause PMC to lose rights in many of its valuable distinct inventions. PMC understood the PTO to be taking the position that the submission of a large number of claims in a single application addressed to many separate aspects of the same disclosure would constitute "conduct by the applicant" within the meaning of PTO Rule 129.

DTX-274.0001-2



DTX-274

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DTX-274.0001-2



DTX-274

PMMC believes that its materials filed at the USPTO disclose many inventions besides those upon which it has received U.S. patents. PMMC plans to apply for coverage on these additional inventions and believes that it will receive more U.S. patent coverage on its broad technologies. (For a broader description of the PMMC technologies, see Appendix G.)

DTX-90.00028



DTX-90

29. Between March 2, 1995, and June 7, 1995, in advance of the GATT change in patent term rules, PMC filed 328 applications claiming priority to either the '510 application or the '096 application. Each of these 328 applications was filed with a single claim to a "method of controlling the communication of television programming at a television transmission station..." PMC contemporaneously or subsequently filed preliminary amendments that added additional claims and limitations in these applications.

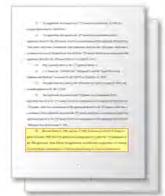
Dkt. 623, Fact Stip. 29

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Dkt. 623

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Dkt. 623

Dkt. 623, Fact Stip. 29

'507 Application are 10 ecember 10, 1996 Office Action ageld #:

Applicants are reminded of their duty to maintain a line of 3. patentable demarcation between related applications. It has been noted by the PTO that many of the pending applications have similar claimed subject matter. In the related 327 applications (the serial numbers are included in a list below), it is estimated that there may be between 10,000 and 20,000 claims. Applicants should insure that substantially duplicate claims do not appear in different cases, and should bring to the PTO's attention instances where similar claims have been treated inconsistently, i.e. rejected in one case but not in another.

DTX-1494.0792-793 (12/10/96 Office Action)



1995

2000 2005 2010

'507 Application — December 10, 1996 Office Action ageld #:

A review of the claims in the related copending applications was made. These claims do not appear independent and distinct from the claims in this application. It is believed that CCPA in Schneller used the "independent and distinct" standard as the main factor in its determination that the double patenting rejection should be affirmed. The relevant arguments in the preceding paragraphs in support of this position are incorporated herein.

12. It is acknowledged that a multiplicity rejection was mailed on July 27, 1989 in parent file 07/096,096. In this rejection, the examiner had limited the applicants to 25 claims.

DTX-1494.0806 (12/10/96 Office Action)



1995

2000 2005 2010

'507 Application — December 10, 1996 Office Action agein #:

Applicants are reminded of their duty to maintain a line of 3. patentable demarcation between related applications. It has been noted by the PTO that many of the pending applications have similar claimed subject matter. In the related 327 applications (the serial numbers are included in a list below), it is estimated that there may be between 10,000 and 20,000 claims. Applicants should insure that substantially duplicate claims do not appear in different cases, and should bring to the PTO's attention instances where similar claims have been treated inconsistently, i.e. rejected in one case but not in another.

DTX-1494.0792-793 (12/10/96 Office Action)

12/10/96

1995

2000

2005

'507 Application are 2:15-01-01369-JR9-1998 Of 14636 Action Page 50 of 97 PageID #:

DOUBLE PATENTING BETWEEN APPLICATIONS

4. Conflicts exist between claims of the following related co-pending applications

which includes the present application:

DTX-1494.0893

226 473484 229 473997	227 473927	100 448810	101 448833	102 448915		
232 474119	163 460557 166 460634	103 448916 106 448977	37 442383	38 442506	39 442507	
89 485283 235 474146 92 486258 235 474674	169 460677	109 449097	40 444643 43 444758	41 444756	42 444757 45 444786	
95 486266 241 475341 244 477564	172 460743 175 460770	112 449263 115 449302	45 444787 49 445045	F Ser. No.	# Ser. No.	# Ser. No
198 487397 101 487411 247 477711	178 466887	118 449411 121 449530	52 445294	1 397371 4 435757	2 397582 5 435758	3 397634 6 43704
104 487516 250 477955 107 487546 253 478544	181 466894 184 468044	124 449652	55 446123 58 446430	7 437045	8 437629 11 437819	9 43763
256 478794 359 478908	187 468641 190 469056	127 449717 130 449800	61 446494 64 447380	13 437887	14 437819 14 437937	13 43786 15 43801
13 487980 16 487984 262 479216	193 469103 196 469108	133 449901 136 451377	67 447416	16 438206 19 439668	17 438216 20 439670	18 43865 21 44065
19 488378 265 479375 22 488438 268 479524	199 469496	139 452395 142 458760	70 447448 73 447502	22 440837 25 441575	23 441027 26 441577	24 44103 27 44170
25 488620 271 480060 28 485773 274 480740	202 469623 205 470051	145 459218	76 447621 79 447712	28 441749 31 441942	29 441821	30 44188
277 482574 280 483169	208 470054 211 470448	148 459521 151 460043	82 447826 85 447974	34 442327	32 441996 35 442335	33 442165 36 442365
283 483980	214 470571 217 471238	154 460120 157 460256	88 448116 91 448175		DTX-1494	.0893-89
286 484859	220 472066	160 460394	94 448326	95 448643	96 448644	

5. 37 CFR 1.78(b) provides that when two or more applications filed by the same applicant contain conflicting claims, elimination of such claims from all but one application may be required in the absence of good and sufficient reason for their retention during pendency in more than one application. The attached Appendix provides clear evidence that such conflicting claims exist between the 329 related copending applications identified above. However, an analysis of all claims in the 329 related copending applications would be an extreme burden on the Office requiring millions of claim comparisons.

DTX-1494.0898-899

7/7/98

1995

2000

2005

'507 Application = 2:15-01-01-397-JR9-1998 Office Action Page 51 of 97 PageID #:

DOUBLE PATENTING BETWEEN APPLICATIONS

4. Conflicts exist between claims of the following related co-pending applications which includes the present application:

DTX-1494.0893

	229 473997	227 473927	100 448810 103 448916	101 448833	102 448915		
289 485283 292 486258	235 474146 238 474674 241 475341	166 460634 169 460677 172 460743	105 448977 109 449097 112 449263	37 442383 40 444643 43 444758 46 444787	38 442505 41 444756 44 444781	39 442507 42 444757 45 444786	
295 486266 298 487397 301 487411 304 487516	244 477564 247 477711 250 477955	175 460770 178 466887 181 466894	115 449302 116 449411 121 449530	49 445045 52 445294 55 446123	F Ser. No. 1 397371 4 435757 7 437045	# Ser. No. 2 397582 5 436756 8 437629	# Ser. No 3 397636 6 437044 9 437638
307 487546 310 487649 313 487980	253 478544 256 478794 259 478908	184 468044 187 468641 190 469056	124 449652 127 449717 130 449800 133 449901	58 646430 61 446494 64 447380	10 437791 13 437887 16 438206	14 437937 14 438218	12 437864 15 438011 18 438651
316 487984 319 488378 322 488438	262 479216 265 479375 268 479524 271 480060	193 469103 196 468108 199 469496 202 469623	136 451377 139 452395 142 458760	67 447448 70 447448 73 447502 76 447621	19 439668 22 440837 25 441675	20 439670 23 441027 26 441577	21 44065 24 44103 27 441701
325 488620 328 485773	277 480560 274 480740 277 482574 280 483169	205 470051 208 470054 211 470448	145 459218 148 459521 151 460043	79 447712 82 447826 85 447974	28 441749 31 441942 34 442327	29 441821 32 441996 35 442335	30 441880 33 442168 36 442369
	283 483980 286 484859	214 470571 217 471238 220 472088	154 460120 157 460256 160 460394	88 448116 91 448175 94 448326	95 448643	DTX-1494	.0893-898

5. 37 CFR 1.78(b) provides that when two or more applications filed by the same applicant contain conflicting claims, elimination of such claims from all but one application may be required in the absence of good and sufficient reason for their retention during pendency in more than one application. The attached Appendix provides clear evidence that such conflicting claims exist between the 329 related copending applications identified above. However, an analysis of all claims in the 329 related co-pending applications would be an extreme burden on the Office requiring millions of claim comparisons.

7/7/98

1995

2000

2005

'507 Application are 21/5 of 97 PageID #:

In fact, under 37 C.F.R. § 1.63 and § 1.56, the applicants are required to 1) review and understand the contents of each specification, including all claims and amendments, and 2) eliminate conflicting claims or notify the Office of conflicting claims. Upon review by the Office of a sampling of the specifications and the thousands of claims, it is a fact that some of the claims are conflicting. Assuming the oaths made under 37 C.F.R. § 1.63, are sound, then the applicants must not be familiar with their own applications.

2005

3/21/01

1995

'507 Application are 21/5 cx-01366-JR2-JSP 2000 1 630 fffice Action of 97 PageID #:



As the applicants assert, a substantive rule is one that "affects individual rights and obligations." Animal Legal Defense Fund, et al. v. Quigg, et al., 932 F.2d 920, 927 (Fed. Cir. 1991). The applicants identify no statutory "right" that the Administrative Requirement abrogates. The applicants cannot credibly argue they have a "right" to file conflicting claims, or to be unaware of the contents of their own specifications, amendments, or claims. The applicants have no "right" to fail to remove conflicting claims from co-pending applications, and certainly, the applicants have no "right" to fail to notify the Office of conflicting claims. The applicants also have no "right" to shop among the USPTO examiners for conflicting interpretations of the applicants' claims, as implied by the petition under 37 C.F.R. § 1.181, filed on March 7, 2000, in co-pending application no. 08/470,571, which demands an exercise of the supervisory authority of the Commissioner. See the co-pending application no. 08/470,571, Petition at page 32 lines 10-12. DTX-1494.0935-936

3/21/01

1995 2000 2005 2010

June 7, 1995

A method for displaying television program information with a locally generated video overlay at a receiver station having a processor, a decoder, a storage device and a video overlay generator, said method comprising the steps of:

receiving a signal that identifies a television program presentation at a receiver station:

decoding said signal from said step of receiving to extract information about said television program presentation;

processing said information from said step of decoding to format said information to provide an organized presentation of said information;

generating a video overlay from said organized information from said step of processing; receiving said television program that is associated with said signal in said step of decoding;

combining said video overlay from said step of generating with said television program from said step of receiving said television program; and

outputting said combined signal from said receiver station to a television display to display said combined image showing said video overlay containing data associated with programming presentation and said television program.

DTX-1566.0598-0599

June 7, 1995

A method for displaying television program information with a locally generated video overlay at a receiver station having a processor, a decoder, a storage device and a video overlay generator, said-method comprising the steps of:

receiving a signal that identifies a television program presentation at a receiver station

decoding said signal from said step-of receiving to extract information about said television program presentation;

processing said information from said step of decoding to format said information to provide an organized presentation of said information;

generating a video overlay from said organized information from said step of processing; receiving said television program that is associated with said signal in said step of decoding;

combining said video overlay from said step of generating with said television program from said step of receiving said television program; and

outputting said combined signal from said receiver station to a television display to display said combined image showing said video overlay containing data associated with programming presentation and said television program.

DTX-1560.0600-601

6/7/95

2000

2005

'507 Application - November 2, 2067 PMC'2 Letter 97 PageID #:

328 applications are continuations of 08/056,501:

2000

227 have been abandoned through consolidation and prosecution:

100 remain pending: 54 other "A" applications, 55 "B" applications, and 08/444,788;

1 issued: Application No. 08/480,060 issued as U.S. Patent 5,887,243 on March 23, 1999.

DTX-1568 at 1538 (11/2/10 PMC Letter)



11/2/10

'507 Application Case 2:15-CI-01369-JRQ-1998 Office Action Page 56 of 97 PageID #:

Serial Number: 08/485,507 Art Unit: 2733 -10-

5. Receipt is acknowledged of applicant's Information Disclosure Statements filed April 7, 1997. In view of the unusually large number of references cited in the instant application (approximately 2,200 originally and 645 in the subsequent IDS) and the failure of applicant to point out why such a large number of references is warranted, these references have been considered in accordance with 37 C.F.R. 1.97 and 1.98 to the best ability by the examiner with the time and resources available.

The foreign language references cited therein where there is no statement of relevance or no translation are not in compliance with 37 C.F.R. 1.98 and have not been considered. Numerous references listed in the IDS are subsequent to applicant's latest effective filing date of 9/11/87, therefore, the relevancy of these references is unclear. Also cited are numerous references that are apperently unrelated to the subject matter of the instant invention such as: US Patent # 33,189 directed toward a beehive. GB 1565319 directed toward a chemical compound, a cover sheet with only the word "ZING", a computer printout from a library search with the words "LST" on it and a page of business cards including that of co-inventor James Cuddihy, among others. The relevancy of these references cannot be ascertained. Furthermore, there are several database search results listed in foreign languages (such as German) which list only the title and document information; no copy has been provided, therefore, these references have not been considered.

6. Receipt is acknowledged of applicant's Information Disclosure Statements filed April 7, 1997. In view of the unusually large number of references cited in the instant application (approximately 2,200 originally and 645 in the subsequent IDS) and the failure of applicant to point out why such a large number of references is warranted, these references have been considered in accordance with 37 C.F.R. 1.97 and 1.98 to the best ability by the examiner with the time and resources available.

DTX-1494.0900 (7/7/98 Office Action)

DTX-1494.0900

7/7/98

1995 2000 2005 2010

'507 Application are 2:15-city, 1-1998 of Action Page 57 of 97 PageID #:

Serial Number: 08/485,507 Art Unit: 2733

1995

-10

6. Receipt is acknowledged of applicant's Information Disclosure Statements filed April 7, 1997. In view of the unusually large number of references cited in the instant application (approximately 2,200 originally and 645 in the subsequent IDS) and the failure of applicant to point out why such a large number of references is warranted, these references have been considered in accordance with 37 C.F.R. 1.97 and 1.98 to the best ability by the examiner with the time and resources available.

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only the word "ZING", a computer printout from a library search with the words

"LST" on it and a page of business cards including that of co-inventor James

Cuddihy, among others. The relevancy of these references cannot be ascertained.

DTX-1494.0900 (7/7/98 Office Action)

DTX-1494.0900

7/7/98

2000 2005 2010

'507 Application are 2:15-citof of 97 Page 18 of 97 Page 18 of 97 Page 18 of 97 Page 18 of 97 Page 10 #:

Serial Number: 08/485,507 Art Unit: 2733

-10-

6. Receipt is acknowledged of applicant's Information Disclosure Statements filed April 7, 1997. In view of the unusually large number of references cited in the instant application (approximately 2,200 originally and 645 in the subsequent IDS) and the failure of applicant to point out why such a large number of references is warranted, these references have been considered in accordance with 37 C.F.R. 1.97 and 1.98 to the best ability by the examiner with the time and resources available.

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DTX-1494.0900

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DTX-1494.0900 (7/7/98 Office Action)

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1995 2000 2005 2010

'507 Application are 2:15-citof of 97 Page 19 of 97 Page 19 of 97 Page 19 of 97 Page 10 ft. Page 59 of 97 Page 10 #:

Serial Number: 08/485,507 Art Unit: 2733

-10-

6. Receipt is acknowledged of applicant's Information Disclosure Statements filed April 7, 1997. In view of the unusually large number of references cited in the instant application (approximately 2,200 originally and 645 in the subsequent IDS) and the failure of applicant to point out why such a large number of references is warranted, these references have been considered in accordance with 37 C.F.R. 1 97 and 1.98 to the best ability by the examiner with the time and resources available.

The foreign language references cited therein where there is no statement of relevance or no translation are not in compliance with 37 C.F.R. 1.98 and have not

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DTX-1494.0900 (7/7/98 Office Action)

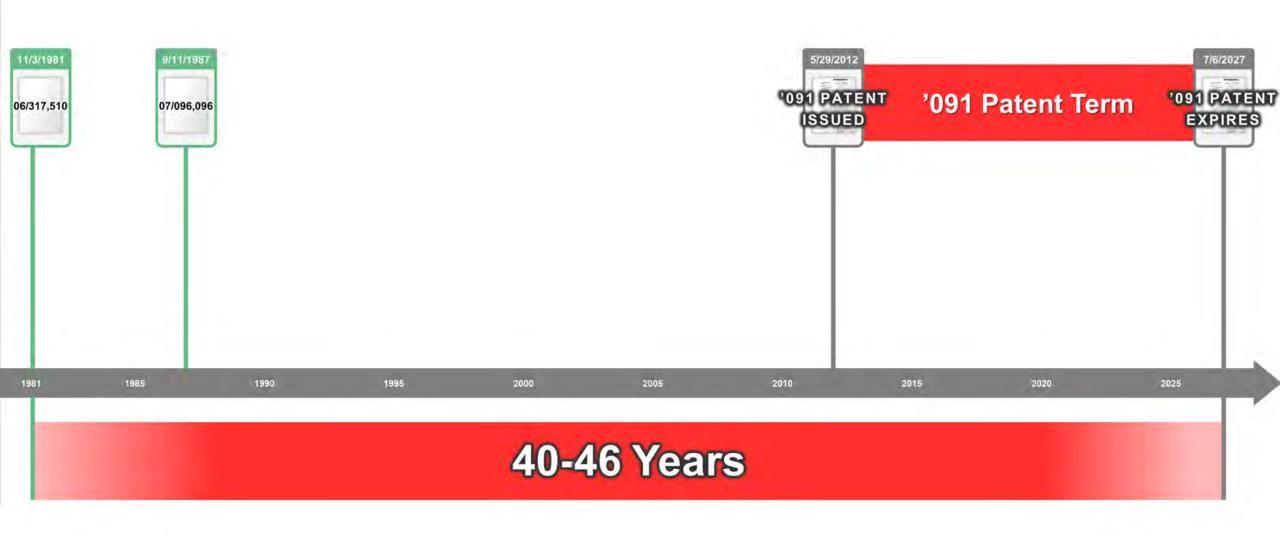
DTX-1494.0900

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7/7/98

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2005



Case 2:15-cv-01366-JRG-RSP Document 637-2 Filed 07/06/21 Page 61 of 97 PageID #:

Case 2:15-cv-01366-JRG-RSP Document 637-2 Filed 07/06/21 Page 62 of 97 PageID #

Case 2:15-cv-01366-JRG-RSP Document 637-2 Filed 07/06/21 Page 63 of 97 PageID #:

John Harvey Testimony

- Q. Were you aware that a meeting occurred between Don Wilson and Tom Scott?
- A. I am aware that a meeting occurred.
- Q. What was your understanding of the purpose of the meeting?
- A. Mr. Wilson was in Washington and wanted to learn more about our patent position from Mr. Scott.

Harvey testimony: 5/13/97 at 1074:7-14

John Harvey first consulted with H. Donald Wilson Inc. in 1980 at which time HDWI suggested that he test his ideas through the formation of a business financed by friends and professional associates, which he did over the ensuing years. In August, 1991 with its patents secured and much preliminary strategic thinking and industry exploration accomplished, PMMC retained HDWI in a best efforts undertaking to assist it develop a refined business strategy, together with a "first cut" at the financial potential of PMMC's inventions and to derive from the effort a structuring of the development for management and investment purposes.

DTX-90.0008



DTX-90

Dated: November 11, 1995

MEMORANDUM OF LAW IN OPPOSITION TO DEFENDANTS' SECOND MOTION TO COMPEL DISCOVERY

Harris D. Butler, III (Va. Bar No. 26482) Charles L. Williams (Va. Bar No. 23587) William J. Pantele (Va. Bar No. 22860) BUTLER, MACON, WILLIAMS, PANTELE & LOWNDES, P.C. 1309 East Cary Street, Second Floor Richmond, VA 23219 (804) 648-4848

Jon F. Tuttle Stewart D. Aaron DORSEY & WHITNEY, P.L.L.P. Suite 200 1330 Connecticut Ave., N.W. Washington, D.C. 20036 (202) 857-0700

Counsel for Plaintiff Personalized Mass Media Corporation

PMCAPL01009066



B. The consulting roles of H. Donald Wilson and Dennis Elliott.

Wilson was retained by PMMC in or about August of 1991 to assist in commercializing and marketing its patent rights covering "personalized television."

[See id. ¶ 4]. As part of his responsibilities in that role, Wilson developed several

*

business plans for the company. [Id. ¶ 5]. The purpose of the business plans was to

familiarize other companies or individuals with PMMC and to assist in the

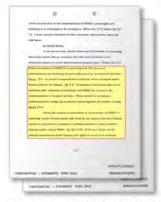
commercialization of its patent portfolio. Wilson assisted in developing a

commercialization strategy and a corporate and management development strategy.

PMCAPL01009082-83



Wilson also acted as an intermediary or "point person" for PMMC in contacting various business entities with which he was familiar from prior business experience, and which he considered to be likely candidates for direct or indirect financial support roles to PMMC. [Id. ¶¶ 6, 9-10]. In this role, Wilson was the principal representative of the company with regard to certain of its marketing activities. [See id. ¶ 8]. In fact, for this purpose, Wilson carried a PMMC business card which identified him as the company's "Venture Manager." [Id.].



PMCAPL01009066

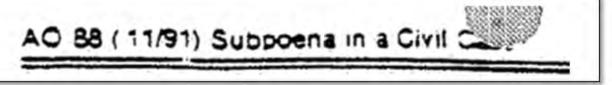
PMCAPL01009083-084

Highlights of Meeting of Don Wilson with Tom Scott October 15, 1992

DTX-1001.0001



DTX-1001



		PROOF OF SERVICE	
	DATE	PLACE	
	11/6/95	H. Donald Wilson, Inc.	
SERVED		70 West Red Oak Lane	
		White Plains NY 10604	

DOCUMENTS AND THINGS REQUESTED

- All of H. Donald Wilson Inc.'s files and the contents thereof pertaining to work or services provided to, for, or on behalf of PMMC.
- All documents in H. Donald Wilson Inc.'s files reflecting, recording, or referring to communications between H. Donald Wilson Inc. and PMMC.

PMCAPL00795071-72



November 10, 1995

VIA FACSIMILE

Conrad M. Shumadine, Esquire Willcox & Savage 1800 Nations Bank Center Norfolk, VA 23510-2197

Re:

Personalized Mass Media Corp. v. The Weather

Channel, Inc. et al.

Civil Action No. 3:95CV859

Dear Conrad:

I enclose the privilege log pertaining and supplementary to the H. Donald Wilson, Inc. subpoena.

PMCAPL00514217

DOCUMENTS WITHHELD BASED ON PRIVILEGE

DATE	# PGS.	то	FROM	DESCRIPTION	REASON FOR PRIVILEGE
10/15/92	1		D. Wilson	Notes of meeting with counsel re: potential litigation.	AC/WP

PMCAPL00514218



Dated: November 11, 1995

MEMORANDUM OF LAW IN OPPOSITION TO DEFENDANTS' SECOND MOTION TO COMPEL DISCOVERY

Harris D. Butler, III (Va. Bar No. 26482) Charles L. Williams (Va. Bar No. 23587) William J. Pantele (Va. Bar No. 22860) BUTLER, MACON, WILLIAMS, PANTELE & LOWNDES, P.C. 1309 East Cary Street, Second Floor Richmond, VA 23219 (804) 648-4848

Jon F. Tuttle Stewart D. Aaron DORSEY & WHITNEY, P.L.L.P. Suite 200 1330 Connecticut Ave., N.W. Washington, D.C. 20036 (202) 857-0700

Counsel for Plaintiff Personalized Mass Media Corporation

PMCAPL01009066



Wilson considered himself a representative of PMMC when he met with Mr. Scott regarding these issues and he also considered that they were central to his responsibilities in marketing PMMC patents. [See id. ¶ 15]. These discussions were confidential and have remained confidential. [See id. ¶¶ 15-16]. Other than employees of PMMC and PMMC's legal representatives, Wilson has not disseminated any legal advice or information received from Mr. Scott during the one-time meeting. [Id. ¶ 16]. The sole document withheld from Wilson's production concerns a summary of this meeting.



PMCAPL01009066

or joint venturers, Wilson believed he needed a better understanding of PMMC's patent portfolio and legal rights, including the nature of the enforceability of those patents either through licensing, or if necessary, litigation. [Id. ¶ 11]. Accordingly, at the direction and with the approval of John Harvey, Wilson met with PMMC's patent counsel, Mr. Thomas J. Scott, Jr., in October of 1992. [See id. ¶ 11]. Mr. Harvey considered this briefing to be important to the duties Wilson was performing on behalf of PMMC. [Id.]. Among other things, Wilson was concerned that by contacting certain businesses which might be involved in the technology claimed under PMMC's patents, his role as a representative of the company might be misunderstood. Wilson did not want these businesses to think he was asserting that their activities constituted infringement of PMMC's patents. [Id. ¶ 12].

In his role as a representative of PMMC with these potential investors



PMCAPL01009066

Wilson considered himself a representative of PMMC when he met

with Mr. Scott regarding these issues and he also considered that they were central to his responsibilities in marketing PMMC patents. [See id. ¶ 15]. These discussions were confidential and have remained confidential. [See id. ¶¶ 15-16]. Other than employees of PMMC and PMMC's legal representatives, Wilson has not disseminated any legal advice or information received from Mr. Scott during the one-time meeting. [Id. ¶ 16]. The sole document withheld from Wilson's production concerns a summary of this meeting.

PMCAPL01009084



Wilson also acted as an intermediary or "point person" for PMMC in contacting various business entities with which he was familiar from prior business experience, and which he considered to be likely candidates for direct or indirect financial support roles to PMMC. [Id. ¶¶ 6, 9-10]. In this role, Wilson was the principal representative of the company with regard to certain of its marketing activities. [See id. ¶ 8]. In fact, for this purpose, Wilson carried a PMMC business card which identified him as the company's "Venture Manager." [Id.].



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PMCAPL01009083-084

The principle of the pr

PMCAPL01009066

The Bieter analysis is directly applicable to the instant case. Both Wilson and Elliott worked intimately with senior PMMC officers on matters of importance to the success of the corporation. Both consultants were representatives of the company in these activities. PMMC, a small corporation, relied extensively on them in this regard. Both men communicated with PMMC's patent counsel, Mr. Scott, at the direction of Mr. Harvey or other senior PMMC officers. These communications were for the express purpose of receiving legal advice as part of their work for the company, or to communicate essential information to Mr. Scott to enable him to provide legal advice to PMMC with regard to their patent rights. The communications were limited to the matters central to the issues for which PMMC had employed both men, and were made in the strictest confidence, which has been maintained at all times. Accordingly, the attorney client privilege applies to their documents.

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PMCAPL01009066

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Patent Strategy:

Maximize value: Get investment and execute business concept.

Minimum value:

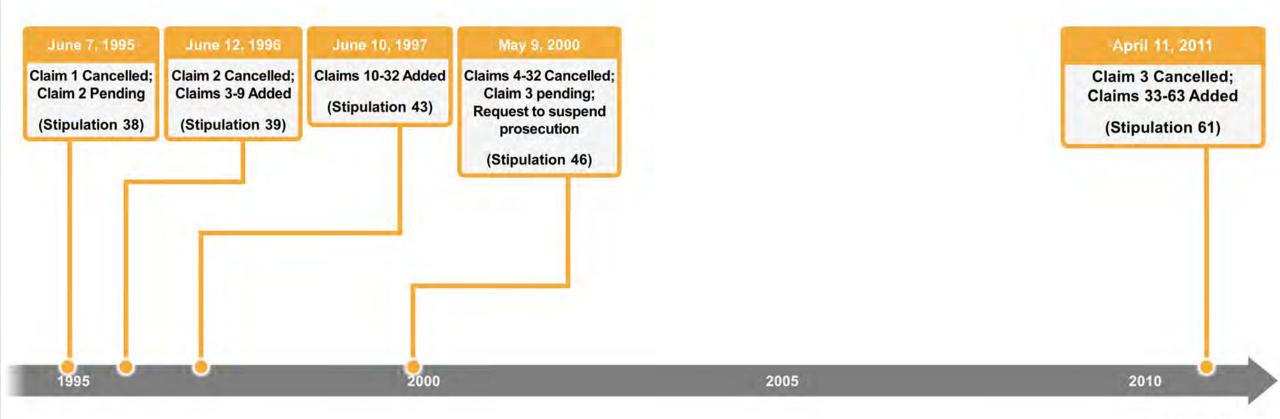
Stay quiet and fire torpedoes when others commercialize. Based upon John's numbers, this is worth millions of dollars.

DTX-1001.0001



DTX-1001

'507 Application Claims



Fact Stipulation 38 Document 637-2 46419 Filed 07/06/21 Page 82 of 97 PageID #:

38. PMC filed a preliminary amendment to the '507 application on June 7, 1995,

canceling claim 1 and adding claim 2.

DKT-623 at 9

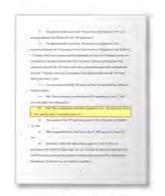


DKT-623

Fact Stipulation 39 Document 637-2 Filed 07/06/21 Page 83 of 97 PageID #:

- 39. PMC filed a supplemental preliminary amendment to the '507 application on June
- 5, 1996, canceling claim 2 and adding claims 3-9.

DKT-623 at 9



DKT-623

Fact Stipulation 43 Document 637-2 Filed 07/06/21 Page 84 of 97 PageID #:

43. PMC amended claims 3-9 and added claims 10-32 in its June 10, 1997 response

to the first office action.

DKT-623 at 10



DKT-623

Fact Stipulation 46 Document 637-2 Filed 07/06/21 Page 85 of 97 PageID #:

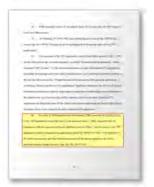
46. In a May 9, 2000 Supplemental Amendment, PMC cancelled all pending claims of the '507 application except for claim 3, and amended claim 3. PMC requested that "in consonance with the agreement between Applicants and the Office," certain claims in the '507 application would be transferred to application, Serial No. 08/475,145 (the "'145 application") for further prosecution and "that further prosecution of the instant application be held in abeyance pending further action in App. Ser. No. 08/474,145."



DKT-623

Fact Stipulation 46 Document 637-2 Filed 07/06/21 Page 86 of 97 PageID #:

46. In a May 9, 2000 Supplemental Amendment, PMC cancelled all pending claims of the '507 application except for claim 3, and amended claim 3. PMC requested that "in consonance with the agreement between Applicants and the Office," certain claims in the '507 application would be transferred to application, Serial No. 08/475,145 (the "'145 application") for further prosecution and "that further prosecution of the instant application be held in abeyance pending further action in App. Ser. No. 08/474,145."



DKT-623

DKT-623 at 10

53. On June 18, 2002, the examiner stated "as per the consolidated agreement between the applicants and the PTO, the prosecution on merits of the instant B application is suspended and held in abeyance pending the outcome of the corresponding "A" application. Ex parte prosecution is SUSPENDED FOR A PERIOD OF SIX MONTHS from the date of this letter. Upon expiration of the period of suspension, applicant should make an inquiry as to the status of the application."



DKT-623

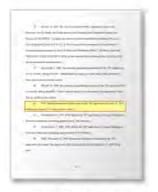
DKT-623 at 12-13

Fact Stipulation 61 Document 637-2 46425 Filed 07/06/21 Page 88 of 97 PageID #:

61. PMC filed an amendment to the claims in the '507 application on April 11, 2011,

adding new claims 33-63 and canceling claim 3.

DKT-623 at 14



DKT-623

May 29, 2012

13. A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an encrypted digital information transmission including encrypted information;

detecting in said encrypted digital information transmission the presence of an instruct-to-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by processing said instruct-toenable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.

DTX-3 ('091 Patent), Claim 13

5/29/12

1995 2000 2005 2010

June 7, 1995

IN THE CLAIMS

Please cancel claim 1 and add the following claim:

2. A method of enabling a presentation of programming at a subscriber station, said subscriber station having at least one receiver for receiving at least part of an information transmission; at least one information enabler for enabling at least some disabled part of an information transmission; and at least one processor, controller, or computer for controlling an information enabler, said method comprising the steps of:

receiving an information transmission from a local or remote source, said information transmission comprising some disabled information;

detecting the presence of an instruct-to-enable signal, with said instruct-to-enable signal designating some enablement information;

passing said instruct-to-enable signal to said processor, controller, or computer;

modifying a fashion in which said station locates, identifies, or receives enablement information in response to said instruct-to-enable signals, thereby to enable said station to present some disabled information on the basis of some enablement information.

DTX-1494.0604-605

May 29, 2012

13. A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an encrypted digital information transmission including encrypted information;

detecting in said encrypted digital information transmission the presence of an instruct-to-enable signal; passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by processing said instruct-toenable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.

DTX-3 ('091 Patent), Claim 13

6/7/95

and

5/29/12

2000 2005 2010

'507 Application A

AMENDMENT TO THE CLAIMS

Applicants request entering the below amendments to the claims. New claims 33-63 are added. Claim 3 is cancelled. Claims 33-63 are the only pending claims.

* *

45. (New) A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an information transmission including encrypted information;

detecting presence of an instruct-to-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by processing said instruct-to-enable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.

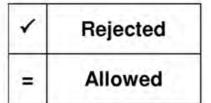
DTX-1494.0994, 996 (April 11, 2011 Amendment)



4/11/11

1995 2000 2005 2010

Case 2:15-cv-01366-JRG-RSP Document 637-2 Filed 07/06/21 Page 92 of 97 PageID #



3	Cancelled				
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N	Non-Elected				
1	Interference				

A	Appeal
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CLAIM		DATE								
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DTX-1494.1412

08/485,507 Application - Apr. 11, 2011

Claims 33-63 correspond to various claims of the "A" application with additional amendments that Applicants believe place the claims in condition for allowance. In order to aid the Examiner in understanding the amendments to the claim, Applicants have attached a marked up copy of the claims (Appendix A) indicating the differences between the "A" Claims and the amended form submitted herein as claims 33-63.

Applicants believe that claims 33-63 overcome the prior art, and should place the above-identified patent application in condition for allowance. Applicants respectfully request favorable consideration of the above-identified patent application in view of the following remarks.

DTX-1494.0993

08/474,145 "A" Application - Jan. 31, 2003

22. (Twice Amended) A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an information transmission including encrypted information;

detecting the presence of an instruct-to-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by

processing said instruct-to-enable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.

DTX-1568.1132

08/485,507 "B" Application - Apr. 11, 2011

45. (New) A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an information transmission including encrypted information;

detecting presence of an instruct-to-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by processing said instruct-to-enable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.

DTX-1494.0996

08/474,145 "A" Application - Jan. 31, 2003

22. (Twice Amended) A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an information transmission including encrypted information;

detecting the presence of an instruct-to-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by

processing said instruct-to-enable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.

DTX-1568.1132

08/474,145 "A" Application - Oct. 22, 2010

Claim 22 (Currently amended) A method of decrypting <u>digital television</u>
programming at a receiver station <u>in a network including a plurality of receiver stations</u>,
said method comprising the steps of:

preprogramming said receiver station with authorization information including a first decryption key at a particular location that varies from station to station in said plurality of receiver stations in accordance with receiver station specific information;

receiving an information transmission including encrypted information programming;

detecting the presence of an instruct-to-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a <u>said</u> first decryption key by processing said instruct-to-enable signal;

locating said first decryption key in said fashion at said particular location based on said step of determining;

decrypting said encrypted information programming using said first decryption key; and

outputting to a user at said receiver station said programming based on said step of decrypting.

DTX-1568.1296

08/485,507 "B" Application - Apr. 11, 2011

45. (New) A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an information transmission including encrypted information;

detecting presence of an instruct-to-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by processing said instruct-to-enable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.

08/485,507 "B" Application - 11/21/2011

45. (Currently Amended) A method of decrypting programming at a receiver station, said method comprising the steps of:

receiving an encrypted digital information transmission including encrypted information; detecting in said encrypted digital information transmission the presence of an instructto-enable signal;

passing said instruct-to-enable signal to a processor;

determining a fashion in which said receiver station locates a first decryption key by processing said instruct-to-enable signal;

locating said first decryption key based on said step of determining;

decrypting said encrypted information using said first decryption key; and

outputting said programming based on said step of decrypting.